



## **WIOA NOTICE NO. 20-NOT-07, Change 1**

**TO:** Chief Elected Officials  
Local Workforce Innovation Board Chairpersons  
Local Workforce Innovation Board Staff  
WIOA Fiscal Agents  
WIOA Grant Recipients  
WIOA Program Services Administrators  
Illinois workNet® Operators  
WIOA State Agency Partners  
Other Interested Persons

**SUBJECT:** Workforce Innovation and Opportunity Act (WIOA) Title I Adult, Dislocated Worker, and Youth Activities Program Allocations for Program Year 2021 (PY'21)

**DATE:** March 14, 2022

### **I. SUBJECT INDEX**

Fiscal Reporting and Accountability  
Planning

### **II. PURPOSE**

To provide information to all Local Workforce Innovation Boards (LWIBs) on the revised formula allocations for Program Year 2021 (PY'21) for the Dislocated Worker funding stream under Title 1B of the Workforce Innovation and Opportunity Act (WIOA).

### **III. ISSUANCES AFFECTED**

#### **A. References:**

Workforce Innovation and Opportunity Act of 2014, Public Law 113-128, as amended  
U.S. DOL Training and Employment Guidance Letter (TEGL) No. 19-20, Workforce  
Innovation and Opportunity Act (WIOA) Adult, Dislocated Worker and Youth Activities  
Program Allotments for Program Year (PY) 2021; PY 2021 Allotments for the Wagner-  
Peyser Act Employment Service (ES) Program and the Allotments of Workforce  
Information Grants to States for PY 2021 (April 27, 2021)  
U.S. DOL Training and Employment Guidance Letter (TEGL) No. 14-17, Updated  
Disadvantaged Youth and Adult Data for Use in Program Year (PY) 2018 and Future

Workforce Innovation and Opportunity Act (WIOA) Youth and Adult Within-State Allocation Formulas (April 4, 2018)

U.S. DOL Training and Employment Guidance Letter (TEGL) No. 05-06, Implementing the Salary and Bonus Limitations in Public Law 109-234 (August 15, 2006)

U.S. DOL Training and Employment Notice (TEN) No. 20-21, Planning Estimate for Workforce Innovation and Opportunity Act (WIOA) Youth, Adult, and Dislocated Worker Program Allotments for Program Year (PY) 2022 (February 2, 2022)

WIOA Notice No. 21-NOT-02, Program Year 2021 Rapid Response (1E) Grant Funds Application (March 14, 2022)

B. Rescissions:

None

#### IV. **BACKGROUND**

Change 1 to State of Illinois WIOA Notice 20-NOT-07 provides the Local Workforce Innovation Boards (LWIBs) with the allocation of \$3 million in additional PY'21 WIOA Title IB Dislocated Worker Program Funds.

##### *Program Year 2022 (PY'22) Funding Outlook*

The Department of Labor (DOL) issued Training and Employment Notice (TEN) No. 20-21 to provide states with planning estimates for the WIOA PY'22 Title IB funds. The TEN outlines each state's relative share of the PY'22 WIOA Adult, Dislocated Worker, and Youth funds. It is projected that Illinois' share of the WIOA Title IB funds that are available to all states will be reduced from 4.81% in PY'21 to 4.36% in PY'22. This will result in a cut in funding for the State of Illinois.

##### *Additional Program Year 2021 (PY'21) Dislocated Worker Funding*

To support the LWIB's recovery strategies from the COVID-19 pandemic as well as supplement the shortfall of PY'22 funds as indicated in TEN 20-21, DCEO has identified \$3 million in Statewide Rapid Response funds to support the provision of career and training services over the next 15 months. These funds will be issued through a modification to the LWIB's formula grants.

##### *Obligation Requirement Waiver*

WIOA Section 132(c) establishes the requirement that states obligate at least eighty percent (80%) of each WIOA Title IB formula grant or face recapture of those funds by the DOL. Consistent with the requirement that the DOL imposes on states, it has been the State's practice through policy, to hold LWIBs to the same eighty percent (80%) obligation standard for the WIOA Title IB formula grant funds. Because COVID-19 has resulted in disruptions to local area service delivery, the Illinois Workforce Innovation Board (IWIB) has authorized the Department of Commerce and Economic Opportunity (DCEO) to accept and approve waiver requests regarding the requirement of LWIBs to obligate at least eighty percent (80%) of WIOA Title IB Adult, Dislocated Worker, and Youth formula grants in PY'21. LWIBs that need this authority should submit a waiver request no later than close of business July 20, 2022, to Julio Rodriguez,

DCEO Office of Employment and Training in care of John Barr at [john.w.barr@illinois.gov](mailto:john.w.barr@illinois.gov). The waiver request must:

- Identify the WIOA Title IB funding stream(s) that will be impacted;
- Project the WIOA expenditures and obligations as of June 30, 2022;
- Document the reason the LWIB will not meet the eighty percent (80%) expenditure and obligation rate; and
- Provide a high-level plan of how the PY'21 funds will be used to provide immediate services to job seekers during the remainder of WIOA PY'21 and throughout PY'22.

## **V. COMPONENTS**

Local Boards are to follow the guidance provided in the WIOA Policy on Annual Allocation and Modification Requirements of WIOA Formula Grant Funds and this notice to modify their grant for local PY'21 WIOA Title IB Adult, Dislocated Worker, and Youth Activities Program Allocations.

- A. Revised Program Year 2021 (PY'21) Allocation: Each LWIB must use the amounts provided in the attachments to this notice to modify the local formula grant for PY'21. Attached to this notice are tables that contain the following information:
1. Revised Summary of Allocations (Attachment A);
  2. Revised Dislocated Worker Allocations (Attachment C-1);
- B. Transfer Authority: WIOA Section 133(b)(4) allows a board to transfer up to one hundred percent (100%) of funds between the Adult and Dislocated Worker funding streams with the Governor's approval.
1. The Governor has approved local boards to transfer up to one hundred percent (100%) of the PY'21 funds between the Adult and Dislocated Worker funding streams without submitting a formal request.
    - a. Local boards are to follow the guidance in the Modification sub-section of the Annual Allocation and Modification Requirements of WIOA Formula Grant Funds and the Procedures to Modify section of this notice.
  2. Local boards may exercise the transfer authority and request transfers between the Adult and Dislocated Worker funding streams at the time of the life of the grant.
  3. Since local boards can transfer funds between the Adult and Dislocated Worker funding streams, there is an expectation that local areas will budget the funds to avoid waiting lists for Adult and Dislocated Worker services to the extent that it is possible.

C. Procedures to Modify

1. The LWIB must ensure that the grantee's budgeted costs for the fourth quarter submitted on the Uniform Budget Modification Template are in excess of costs reported in the Department's Accounting System for each budgeted line item.
2. The following documents are required when submitting a grant modification:
  - a. A cover letter that includes a detailed reason for the modification signed by the LWIB Chairperson and the CEO(s) indicating approval of the grant modification planned budget and/or registrants;
  - b. The completed Uniform Budget Modification Template which is attached to the Notice as Attachment D; and
  - c. The signed Certification page from the Uniform Budget Modification Template.
3. The LWIB must ensure the grantee enters the modified budget and registrant information into the Illinois Workforce Development System (IWDS) grant plan and sets the plan to a Pending status.
4. Submit modifications electronically to [CEO.OET.Grants@illinois.gov](mailto:CEO.OET.Grants@illinois.gov).
5. Modifications must be submitted as soon as possible and no later than May 6, 2022.

**VI. ACTION REQUIRED**

Use this information in conjunction with the WIOA Policy on Annual Allocation and Modification Requirements of WIOA Formula Grant Funds to establish and modify the PY'21 WIOA formula grants.

**VII. INQUIRIES**

Inquiries related to fiscal concerns should be directed to OET, John Barr, (312) 805-9505 or [john.w.barr@illinois.gov](mailto:john.w.barr@illinois.gov).

**VIII. EFFECTIVE DATE**

This notice is effective on release.

**IX. EXPIRATION DATE**

This notice will remain in effect until June 30, 2023.

Sincerely,

A handwritten signature in black ink, appearing to read "Julio Rodriguez", with a stylized flourish at the end.

Julio Rodriguez, Deputy Director  
Office of Employment and Training

Attachment(s):   A - Revised Summary of Allocations  
                      C-1 - Revised Dislocated Worker Allocations  
                      G - PY'21 WIOA 681 Uniform Budget Modification Template (Excel)

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**WIOA Program Year 2021**  
**Revised Allocations**

<b>LWIA</b>	<b>Total Allocations</b>	<b>Adult</b>	<b>Dislocated Workers</b>	<b>Youth</b>
1	<b>\$5,209,749</b>	\$1,595,452	\$1,926,630	\$1,687,667
2	<b>\$1,984,226</b>	\$673,354	\$619,632	\$691,240
3	<b>\$4,734,616</b>	\$1,504,780	\$1,677,463	\$1,552,373
4	<b>\$3,053,921</b>	\$903,369	\$1,252,394	\$898,158
5	<b>\$6,523,120</b>	\$1,914,197	\$2,438,764	\$2,170,159
6	<b>\$5,791,513</b>	\$1,765,448	\$2,138,997	\$1,887,068
7	<b>\$52,429,297</b>	\$16,941,412	\$17,953,191	\$17,534,694
10	<b>\$5,778,770</b>	\$1,753,794	\$2,201,908	\$1,823,068
11	<b>\$1,876,074</b>	\$568,807	\$730,719	\$576,548
13	<b>\$2,030,361</b>	\$624,668	\$727,568	\$678,125
14	<b>\$1,899,810</b>	\$589,330	\$637,072	\$673,408
15	<b>\$5,603,466</b>	\$1,593,300	\$2,116,554	\$1,893,612
17	<b>\$2,407,283</b>	\$680,871	\$644,427	\$1,081,985
18	<b>\$891,788</b>	\$288,581	\$313,492	\$289,715
19	<b>\$1,342,005</b>	\$399,603	\$523,654	\$418,748
20	<b>\$2,437,507</b>	\$736,917	\$915,587	\$785,003
21	<b>\$1,424,238</b>	\$446,979	\$504,504	\$472,755
22	<b>\$2,360,640</b>	\$741,669	\$831,308	\$787,663
23	<b>\$2,625,930</b>	\$798,467	\$964,052	\$863,411
24	<b>\$3,073,770</b>	\$1,008,206	\$1,100,870	\$964,694
25	<b>\$2,289,101</b>	\$744,685	\$720,296	\$824,120
26	<b>\$1,577,909</b>	\$510,023	\$579,961	\$487,925
<b>Totals</b>	<b>\$117,345,094</b>	\$36,783,912	\$41,519,043	\$39,042,139

**WIOA Program Year 2021 Revised**  
**Dislocated Worker Allocations 3/16/22**

<b>LWIA</b>	<b>PY21 Original DW Allocations</b>	<b>Supplemental Allocation</b>	<b>PY21 Revised DW Allocations</b>	<b>Administration (Maximum)</b>	<b>Programs (Minimum)</b>
1	\$1,787,419	\$139,211	<b>\$1,926,630</b>	\$192,662	\$1,733,968
2	\$574,860	\$44,772	<b>\$619,632</b>	\$61,963	\$557,669
3	\$1,556,256	\$121,207	<b>\$1,677,463</b>	\$167,745	\$1,509,718
4	\$1,161,901	\$90,493	<b>\$1,252,394</b>	\$125,239	\$1,127,155
5	\$2,262,549	\$176,215	<b>\$2,438,764</b>	\$243,875	\$2,194,889
6	\$1,984,442	\$154,555	<b>\$2,138,997</b>	\$213,899	\$1,925,098
7	\$16,655,966	\$1,297,225	<b>\$17,953,191</b>	\$1,795,318	\$16,157,873
10	\$2,042,807	\$159,101	<b>\$2,201,908</b>	\$220,190	\$1,981,718
11	\$677,920	\$52,799	<b>\$730,719</b>	\$73,071	\$657,648
13	\$674,997	\$52,571	<b>\$727,568</b>	\$72,756	\$654,812
14	\$591,040	\$46,032	<b>\$637,072</b>	\$63,707	\$573,365
15	\$1,963,620	\$152,934	<b>\$2,116,554</b>	\$211,655	\$1,904,899
17	\$597,863	\$46,564	<b>\$644,427</b>	\$64,442	\$579,985
18	\$290,840	\$22,652	<b>\$313,492</b>	\$31,349	\$282,143
19	\$485,817	\$37,837	<b>\$523,654</b>	\$52,364	\$471,290
20	\$849,430	\$66,157	<b>\$915,587</b>	\$91,558	\$824,029
21	\$468,051	\$36,453	<b>\$504,504</b>	\$50,450	\$454,054
22	\$771,241	\$60,067	<b>\$831,308</b>	\$83,130	\$748,178
23	\$894,393	\$69,659	<b>\$964,052</b>	\$96,404	\$867,648
24	\$1,021,326	\$79,544	<b>\$1,100,870</b>	\$110,086	\$990,784
25	\$668,250	\$52,046	<b>\$720,296</b>	\$72,029	\$648,267
26	\$538,055	\$41,906	<b>\$579,961</b>	\$57,995	\$521,966
<b>Totals</b>	<b>\$38,519,043</b>	<b>\$3,000,000</b>	<b>\$41,519,043</b>	<b>\$4,151,887</b>	<b>\$34,367,156</b>